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Large-scale modelling of channel flow and floodplain inundation dynamics and its application to the Pantanal (Brazil)

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Abstract:

For large-scale sites, difficulties for applying coupled one-dimensional (1D)/2D models for simulating floodplain inundation may be encountered related to data scarcity, complexity for establishing channel–floodplain connections, computational cost, long duration of floods and the need to represent precipitation and evapotranspiration processes. This paper presents a hydrologic simulation system, named SIRIPLAN, developed to accomplish this aim. This system is composed by a 1D hydrodynamic model coupled to a 2D raster-based model, and by two modules to compute the vertical water balance over floodplain and the water exchanges between channel and floodplain. Results are presented for the Upper Paraguay River Basin (UPRB), including the Pantanal, one of the world's largest wetlands. A total of 3965 km of river channels and 140 000 km² of floodplains are simulated for a period of 11 years. Comparison of observed and calculated hydrographs at 15 gauging stations showed that the model was capable to simulate distinct, complex flow regimes along main channels, including channel–floodplain interactions. The proposed system was also able to reproduce the Pantanal seasonal flood pulse, with estimated inundated areas ranging from 35 000 km² (dry period) to more than 120 000 km² (wet period). Floodplain inundation maps obtained with SIRIPLAN were consistent with previous knowledge of Pantanal dynamics, but comparison with inundation extent provided by a previous satellite-based study indicates that permanently flooded areas may have been underestimated. The results obtained are promising, and further work will focus on improving vertical processes representation over floodplains and analysing model sensitivity to floodplain parameters, time step and precipitation estimates uncertainty. Copyright © 2010 John Wiley & Sons, Ltd.

KEY WORDS hydrologic modelling; hydrodynamic model; Pantanal; lateral water exchange

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INTRODUCTION

Mathematical models have been developed and applied for simulating the hydrologic regime of rivers since the nineteenth century (Chow, 1959; Abbott, 1979; Cunge *et al.*, 1981). The common approach consists of assuming that the flow is one-dimensional (1D) along the longitudinal axis of the river and employing the Saint Venant's dynamic and continuity equations for flow routing. These equations are used in their complete form (hydrodynamic model) or disregarding some terms, which give rise to the diffusive, kinematic or storage models. The choice of which model, approach and discretization to use is dependent on several factors such as the characteristics of the study area, available data sets, purposes of the study, available time, computational and human resources (Fread, 1992).

When dealing with rivers with floodplains, the two usual approaches are to consider the 1D model with

extended cross sections representing both main channel and floodplain or to consider explicitly storage areas connected to the 1D model representing major water accumulation regions during floods. These methods are able to reproduce the main channel flow regime in a satisfactory way for most cases. Inundation maps may be further derived from the model results by interpolating cross sections of water levels and using a digital elevation model (DEM). However, if the study aims at representing the floodplain inundation patterns, these methods may not be suitable and a more recent approach consists of coupling a 1D model for simulating the main channel flow and a 2D model for simulating floodplain inundation (Verwey, 2001; Gillan *et al.*, 2005; Hunter *et al.*, 2007; Chatterjee *et al.*, 2008).

Floodplain inundation plays a key role for several ecological processes and phenomena, such as ecosystem productivity, species occurrence and distribution and nutrient and sediment dynamics (Junk *et al.*, 1989; Poff *et al.*, 1997; Postel and Richter, 2003). Hence, being able to simulate the spatial inundation patterns through mathematical modelling provides a valuable tool to water management and prediction of climate change effects as

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well the effects of human interventions such as water withdrawals, embankments, dykes and dredging projects.

In the 1D/2D coupled approach, the floodplain may be modelled by a full 2D hydrodynamic model (depth-averaged Navier–Stokes equations) or by simpler methods such as 2D diffusive and kinematic approximations. Most of the latter are regular grid models, which are commonly referred as raster-based models.

Modelling floodplain with a 2D hydrodynamic code may be infeasible due to numerical instabilities related to small water depths and the wetting and drying process as well as excessive computational costs. The use of raster-based models overcomes these difficulties and provides a way to work with a large number of floodplain grid elements. Additionally, this approach has the advantages of taking into account the spatial variability of floodplain physical characteristics (elevation and roughness) and of being easily integrated into a geographic information system (GIS). Reasonable results have been obtained by several authors with this modelling approach in terms of reproducing floodplain spatial inundation patterns (Horritt and Bates, 2001a; Bates *et al.*, 2006; Wilson *et al.*, 2007).

The majority of literature examples of river-floodplain modelling using the 1D/2D coupled approach encompasses relative small-scale sites (single river reaches of length less than 100 km), for which there was large amount of available data such as high-resolution DEM and inundation maps for calibrating model results (Horritt and Bates, 2001a; Bradbrook *et al.*, 2004; Bates *et al.*, 2006; Tayefi *et al.*, 2007). The few exceptions include the study reported by Biancamaria *et al.* (2009), which modelled a single reach of 900 km length of the Ob river (Siberia), and the studies carried out by Wilson *et al.* (2007) and Trigg *et al.* (2009), which modelled a 285 km reach of the main stem of the Amazon (Solimões) river and a 107 km reach of Purus tributary. If the study site comprises an even larger and complex network of channels, junctions and floodplains (over hundreds of square kilometers), difficulties may be encountered related to data scarcity and complexity for establishing main channel and floodplain connections.

Additionally, the flood pulse may last for months long in large-scale floodplains, which considerably increase the computational cost by necessitating more model grid elements and model time steps. Moreover, for simulating these long duration floods the representation of the vertical water processes such precipitation and evapotranspiration may be required (Wilson *et al.*, 2007).

In spite of the difficulties for modelling large-scale rivers and floodplains, this is the major scale of interest for assessing how climate change and variability will affect water resources. As an increase in accuracy and reliability of flow and inundation predictions is desirable for better decisions concerning land use and water management in light of climate scenarios, it motivates the development and improvement of methods for large-scale hydrologic modelling.

This paper presents a hydrologic simulation system, named SIRIPLAN, developed for large-scale river and

floodplains drainage networks. This simulation system is based on coupling a 1D hydrodynamic model to a 2D raster model and considering the precipitation, evapotranspiration and infiltration processes over the floodplain. Results are presented from the application of the SIRIPLAN to the Upper Paraguay River Basin (UPRB), including the Pantanal, one of the world's largest wetlands. Results are evaluated by comparing observed and calculated hydrographs at available gauging stations and by comparing seasonal inundation areas and inundation patterns provided by previous satellite-based studies.

THE SIRIPLAN HYDROLOGIC SIMULATION SYSTEM

Overview

The SIRIPLAN hydrologic simulation system is composed by a 1D hydrodynamic model coupled to a 2D raster-based inundation model (Figure 1). The 1D model simulates the flow routing along the river drainage system, considering cross sections restricted to the main channels. The raster-based model simulates the water accumulation and the 2D propagation of inundation over the floodplains. A water exchange scheme is used to simulate the interactions between channel and floodplain. If the water level in a cross section of the main channel rises above the levee, it spills over and inundates the floodplain. Analogously, if the inundation propagation over floodplain reaches the main channel pathway, water is transferred to the channel.

Additionally, the vertical processes of precipitation, evapotranspiration and infiltration are simulated by a third module, coupled with the raster-based model. Water contributions from upstream of the modelled river drainage system are considered as boundary conditions set using

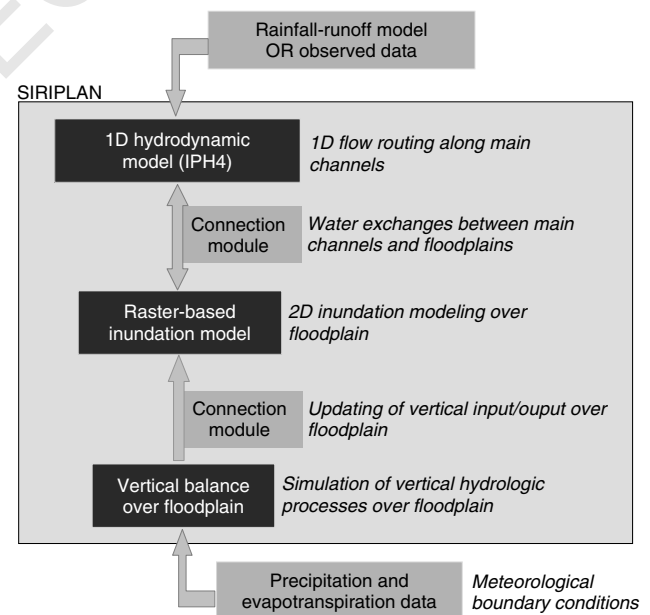


Figure 1. Conceptual overview of the SIRIPLAN hydrologic simulation system

1 observed discharge data or by off-line coupling of a
 2 rainfall-runoff hydrologic model.

3
 4 *Channel flow routing*

5 Flow routing along main channels is simulated with
 6 the 1D hydrodynamic model called IPH4 (Tucci, 1978).
 7 This model solves the full Saint Venant equations through
 8 a finite difference method, with an implicit scheme based
 9 on a modified version of the Gauss elimination process:

10
 11
$$\frac{\partial h}{\partial t} + \frac{1}{b} \frac{\partial Q}{\partial x} = q \quad (1)$$

12
 13
 14
$$\frac{\partial Q}{\partial t} + \frac{\partial}{\partial t} \left(\frac{Q^2}{A} \right) + gA \frac{\partial h}{\partial x} + gA(S_f - S_0) = 0 \quad (2)$$

15 where h is the water level, t is time, Q is the discharge,
 16 x is the longitudinal distance along the river, b and A are
 17 the cross section width and area, respectively, g is the
 18 local gravitational celerity, q is the lateral contribution
 19 to discharge per unit of distance, S_0 is the channel
 20 bottom slope and S_f is the energy friction slope, which
 21 is parameterized through Manning resistance equation.

22 Cross-section data represented in the IPH4 model is
 23 restricted to the level which characterizes the transition
 24 between main channel and floodplain (levees). For each
 25 river reach between two cross sections, length and slope
 26 must be specified. Manning coefficients may assume distinct
 27 values for each river reach, and may also be considered
 28 variable as a function of the water level in a given
 29 cross section. The discharge exchanged between main
 30 channel and floodplains is considered as lateral contribu-
 31 tion in the continuity equation (term q in Equation (1)).

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 35 *Floodplain inundation modelling*

36 The floodplain model is a raster-based inundation
 37 model, which was developed following the approach of
 38 the LISFLOOD-FP model (Bates and De Roo, 2000;
 39 Horritt and Bates, 2001b), but with adaptations mainly
 40 concerning the water exchange between channel and
 41 floodplain, flow among floodplain elements, water storage
 42

in soil reservoirs and water input/loss on floodplain due
 to vertical water balance.

Floodplain is discretized by a regular grid of intercon-
 nected elements, which may change flow with neighbour-
 ing elements and with the main channel, in the case of
 elements directly connected to the channel (Figure 2a).
 The volume variation along time in a given element of
 the raster model is the following:

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$$\frac{\Delta V}{\Delta t_{\text{plan}}} = Q_{\text{up}} + Q_{\text{down}} + Q_{\text{left}} + Q_{\text{right}} + Q_{\text{cf}}$$

 53
 54
$$+ Q_{\text{vert}} + Q_{\text{res}} \quad (3)$$

 55

56 where ΔV is the volume variation during time interval
 Δt_{plan} ; Q_{up} , Q_{down} , Q_{left} and Q_{right} are the discharges
 between the element and its up, down, left and right
 neighbours, respectively; Q_{cf} is the discharge between
 channel and floodplain element; Q_{vert} is the result of the
 vertical water balance and Q_{res} represents the volume of
 water flowing to the soil reservoir.

A numerical scheme explicit on time and progressive
 on space is used to solve Equation (3), considering the
 water level represented in the center of the element and
 the exchanges in its interfaces (Figure 2b). As a result, the
 water level in the time instant $t + \Delta t_{\text{plan}}$ in a floodplain
 element (i, j) is determined by:

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$${}^{t+\Delta t}h^{i,j} = {}^t h^{i,j} + \frac{({}^t Q_x^{i-1,j} - {}^t Q_x^{i,j} + {}^t Q_y^{i,j-1} - {}^t Q_y^{i,j} + {}^t Q_{cf}^{i,j}) \cdot \Delta t_{\text{plan}}}{\Delta x \cdot \Delta y} + {}^t h_{\text{vert}}^{i,j} + {}^t h_{\text{res}}^{i,j} \quad (4)$$

where ${}^t h^{i,j}$ is the water level in time instant t , ${}^t Q_x^{i,j}$ is
 the discharge in x direction between elements i, j and
 $i + 1, j$; ${}^t Q_y^{i,j}$ is the discharge in y direction between
 elements i, j and $i, j + 1$; ${}^t h_{\text{vert}}^{i,j}$ is the result of the vertical
 water balance and ${}^t h_{\text{res}}^{i,j}$ is the available volume of soil
 reservoir, both expressed in water depth; Δx and Δy
 are the element dimensions in the x and y directions,
 respectively.

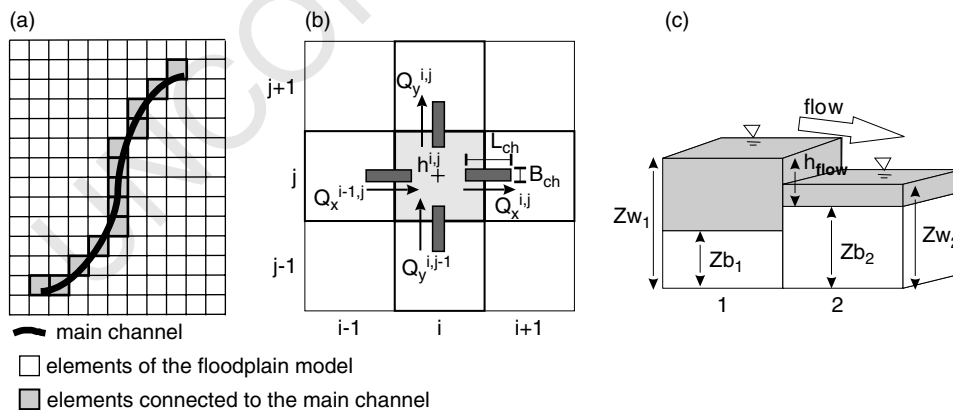


Figure 2. (a) Floodplain elements of the raster-based model; (b) numerical discretization of water level and discharges between elements of the floodplain, which are calculated through linkage channels of width B_{ch} and length L_{ch} and (c) indication of hflow between two elements (Zw and Zb refer to water level and bottom elevation, respectively), where $h_{\text{flow}} = \text{Max}(Zw_1, Zw_2) - \text{Max}(Zb_1, Zb_2)$ (adapted from Bates *et al.*, 2005)

1 In the soil reservoir scheme, a floodplain element is
 2 inundated, i.e. with surface water accumulation, only after
 3 the soil reservoir is full (Figure 3). The term h_{res} is given
 4 by:

$$5 \quad h_{res} = h_{sub} - H_{smax} \quad (5)$$

6 where h_{sub} is the current water content of the soil
 7 reservoir, which has a maximum capacity of H_{smax}
 8 (model parameter), both variables being expressed in
 9 water depth; h_{res} always assumes non-positive values,
 10 varying from $h_{res} = -H_{smax}$ when the reservoir is empty
 11 to $h_{res} = 0$ when it is full.

12 If the result of the water balance in a floodplain element
 13 (Equation (4)) is positive, the soil reservoir is filled and
 14 there is surface water in this element. On the contrary,
 15 a negative result means that the element was dried (in
 16 terms of surface water). The available water content in
 17 the soil reservoir is updated as follows:

$$18 \quad \text{if } {}^{t+\Delta t}h^{i,j} > 0 \Rightarrow {}^{t+\Delta t}h_{res} = 0 \quad (6)$$

$$19 \quad \text{if } {}^{t+\Delta t}h^{i,j} < 0 \Rightarrow$$

$$20 \quad \begin{cases} {}^{t+\Delta t}h_{res} = {}^{t+\Delta t}h^{i,j}, & \text{if } |{}^{t+\Delta t}h^{i,j}| < H_{smax} \\ {}^{t+\Delta t}h_{res} = -H_{smax}, & \text{if } |{}^{t+\Delta t}h^{i,j}| > H_{smax} \\ {}^{t+\Delta t}h_{res} = 0 \end{cases} \quad (7)$$

21 The discharge between two neighbour floodplain ele-
 22 ments is determined by Manning equation with a numeric
 23 and spatial discretization similar to the used by Bates
 24 and De Roo (2000). However, we consider that the flow
 25 between each two elements occurs along straight chan-
 26 nels of width B_{ch} and length L_{ch} (Figure 2c), and thus the
 27 discharge is given by:

$$28 \quad {}^tQ_x^{i,j} = \pm \frac{{}^t h_{fluxo}^{5/3}}{n^{i,j}} \left(\frac{{}^t h^{i,j} - {}^t h^{i+1,j}}{L_{ch}} \right)^{1/2} \cdot B_{ch} \quad (8)$$

29 where ${}^tQ_x^{i,j}$ is the discharge in the x direction between
 30 elements (i, j) and $(i + 1, j)$ in time instant t ; $n^{i,j}$ is
 31 Manning roughness of the channel linking these elements
 32 and ${}^t h_{flow}$ is the water depth available to the flow
 33 between these elements; flow in y direction is determined
 34 analogously.

35 The water depth h_{flow} is defined as the difference
 36 between the highest water level and the highest bot-
 37 tom elevation between the two floodplain elements
 38 (Figure 2c), following Horritt and Bates (2001a) and
 39 Bates *et al.* (2005).

40 When modelling large-scale floodplains, model dis-
 41 cretization may result in elements with dimensions of
 42 hundreds or thousands of meters to reduce computa-
 43 tional cost. If discharge along the floodplain is calculated
 44 considering the flow spilling over the whole element
 45 width, small differences in the water level may gener-
 46 ate huge and unrealistic volumes of water exchanged
 47 between two elements, causing numerical instabilities and
 48 artificially accelerating the inundation propagation. The
 49 adoption of channels with controlled dimensions to rep-
 50 resent the hydraulic linkage between each two floodplain
 51 elements aims at overcoming this problem. In the flow
 52 equation between elements of the floodplain, there are
 53 three parameters related to the linkage channel (Man-
 54 ning roughness, width and channel), which may be com-
 55 bined into only one, called hydraulic conductivity fac-
 56 tor (f_{hc}) (Equation (9)). Albeit indeed inundation over
 57 large, vegetated floodplains such as Pantanal may prop-
 58 agate along preferential pathways, the disadvantage of
 59 the proposed approach is the increase in the number of
 60 model parameters and the difficulty to parameterize them
 61 physically. This may cause parameter equifinality, i.e.
 62 different parameter sets leading to same results (Beven
 63 and Freer, 2001). Further study may focus on evaluating
 64 model sensitivity to these parameters and the associated

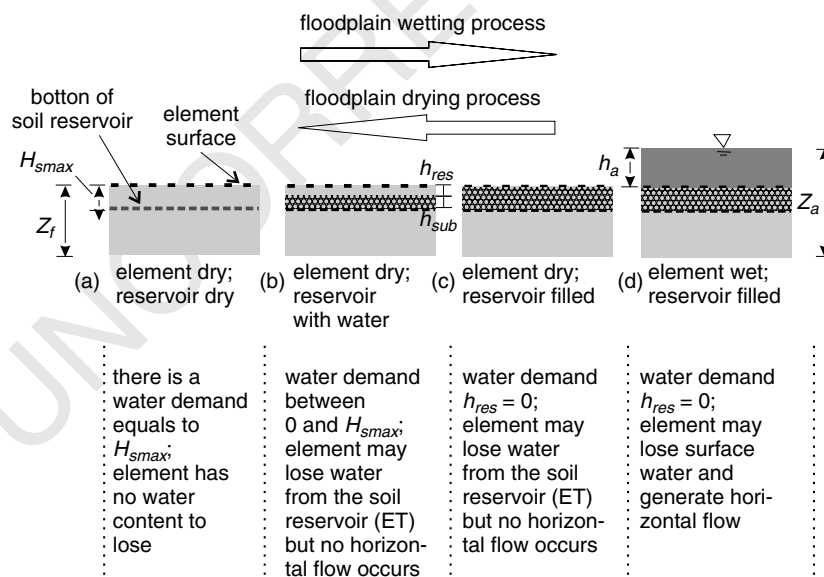


Figure 3. Wetting [(a)–(d)] and drying [(d)–(a)] processes of a floodplain element of the raster model (Z_f is floodplain elevation; Z_a is water level; h_a is surface water depth over the element; h_{sub} is water depth of soil reservoir; h_{res} is the available volume of soil reservoir, which has a maximum capacity equals to H_{smax})

1 uncertainties.

$$f_{hc}^{i,j} = \frac{B_{ch}^{i,j}}{n^{i,j} \sqrt{L_{ch}^{i,j}}} \quad (9)$$

6 Vertical water balance on floodplain

7 The vertical water balance on each floodplain element
 8 is performed as a balance between precipitation and
 9 evapotranspiration. This balance is updated at a specific
 10 time step (Δt_{vert}) (Figure 4), which is commonly several
 11 times greater than time steps used in 1D and 2D models.
 12 At each Δt_{vert} , this simple water balance is calculated for
 13 a given floodplain element (i, j):

$${}^{t+\Delta t}h_{vert}^{i,j} = {}^{t+\Delta t}P^{i,j} - {}^{t+\Delta t}ET_{actual}^{i,j} \quad (10)$$

17 where P is precipitation, ET_{actual} is the actual evapotran-
 18 spiration and h_{vert} is the resultant of this balance, all of
 19 them expressed in terms of water depth.

20 If $h_{vert} > 0$, it represents a source of water to the water
 21 balance of the element in the 2D model (Equation (4)),
 22 while a negative value means a sink (definite loss) of
 23 water from the modelling system. As $\Delta t_{vert} \gg \Delta t_{plan}$,
 24 the result of the vertical balance is considered constant
 25 along the following npv number of floodplain time
 26 steps, where $npv = \Delta t_{vert}/\Delta t_{plan}$, but after converting
 27 to corresponding units by $h_{vert} = h_{vert}/npv$.

28 Actual evapotranspiration is calculated according to
 29 wet or dry condition of the floodplain element in each
 30 Δt_{vert} . If the element has surface water, actual evapotran-
 31 spiration occurs at the maximum rate equal to potential
 32 evapotranspiration (Equation (11)). If the element is dry,
 33 actual evapotranspiration is less than the potential rate,
 34 being linearly proportional to water content of the soil

reservoir (Equation (12)).

$$\text{if } {}^t h^{i,j} > 0 \Rightarrow {}^{t+\Delta t}ET_{actual}^{i,j} = {}^{t+\Delta t}ET_{pot}^{i,j} \quad (11)$$

$$\text{if } {}^t h^{i,j} = 0 \Rightarrow {}^{t+\Delta t}ET_{actual}^{i,j} = {}^{t+\Delta t}ET_{pot}^{i,j} \cdot \left(1 - \frac{{}^t h_{res}^{i,j}}{H_{smax}}\right) \quad (12)$$

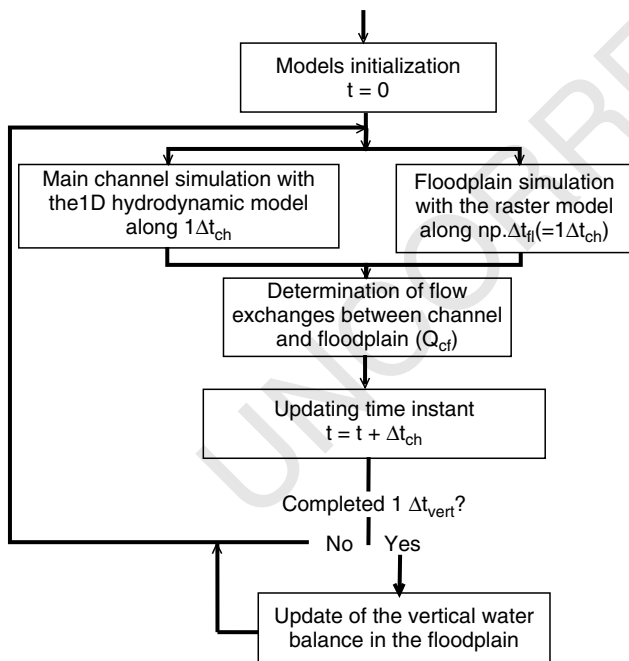
70 Channel–floodplain water exchanges

71 Every floodplain element located under the main
 72 channel longitudinal axis is connected with it. Water
 73 exchanges between channel and floodplain are deter-
 74 mined as a function of the difference between water
 75 levels. For the points located between two cross sections
 76 of the main channel, the water level is calculated by a
 77 linear approximation.

78 Occurrence of flow between channel and floodplain in
 79 a given location is triggered by the condition of water
 80 level in floodplain and/or main channel higher than the
 81 spill elevation (Z_{spill}). This elevation is the maximum
 82 value between channel levee height and floodplain bottom
 83 elevation.

84 When the water level in the main channel or in the
 85 floodplain reaches Z_{spill} , there is hydraulic connection
 86 and flow occurs. This flow is calculated using simple
 87 or flooded weir-type equations. Analogously to the dis-
 88 charge between floodplain elements, if the weir width is
 89 considered equal to the element width, unrealistic exag-
 90 gerated flow may be calculated for small water depths
 91 over the weir in case of elements with large dimensions.
 92 Therefore, the weir width is considered a model param-
 93 eter, usually taken in the range 10–100 m, which may be
 94 regarded as the typical width values over which occurs
 95 lateral flows in large natural rivers. As previously stated
 96 regarding parameters related to channels linking flood-
 97 plain elements, considering the weir width as a model
 98 parameter may lead to equifinality and increase the uncer-
 99 tainties. Further study will evaluate this issue, investigat-
 100 ing model sensitivity to each parameter.

101 A decoupled 1D/2D time-step approach is considered
 102 (Trigg *et al.*, 2009), in which different time steps are set
 103 to the 1D and 2D models. The 1D time step (Δt_{chan})
 104 is usually several times greater than the 2D time step
 105 (Δt_{plan}), as the 1D model uses an implicit numeric
 106 scheme while the 2D model is explicitly solved. Thus,
 107 the 1D model is run by $1\Delta t_{chan}$ and then the 2D model
 108 is run by np times Δt_{plan} , where $np = \Delta t_{chan}/\Delta t_{plan}$.
 109 After a time interval of Δt_{chan} , the water exchanges
 110 (Q_{cf}) between channel (1D model) and floodplain (2D
 111 model) are calculated. For the channel, Q_{cf} is converted
 112 into lateral contribution to discharge per unit of distance
 113 for calculation of the continuity equation (Equation (1))
 114 at the next Δt_{chan} . For the floodplain, Q_{cf} is directly
 115 used into the water level updating equation (Equation (4))
 116 throughout a time interval of Δt_{chan} , i.e. for the next np
 117 Δt_{plan} .



58 Figure 4. Scheme of coupled running of hydrodynamic and raster inun-
 59 dation models and vertical water balance

Code and parallelization

The SIRIPLAN hydrologic simulation system was developed using FORTRAN 90 programming language and OpenMP (Open specifications for Multi-Processing) Application Programming Interface (API). The OpenMP represents a collection of directives, library routines and environment variables that enables programs to run in parallel on shared memory processors (Hermanns, 2002; Chapman *et al.*, 2008). The main advantages of this approach relative to other parallel techniques are the ease of implementation and requirements of minimal modification to the code. Recently, Neal *et al.* (2009) implemented a parallel version of the LISFLOOD-FP model using OpenMP, achieving parallel efficiencies of up to 0.75 on four and eight processor cores.

Two loops of the raster inundation model were parallelized through OpenMP: the calculation of discharge between floodplain elements and the calculation of water depth in each element (general water balance). The 1D hydrodynamic model has an implicit numerical scheme, and tests for parallelizing its code with OpenMP has proven not to be advantageous in terms of run-time reduction (Paiva, 2009).

INPUT DATA REQUIREMENTS AND PREPARATION

Main channel data

For the hydraulic modelling of channel flow, data requirements includes channel vector lines, length and slope, cross section profiles and boundary conditions. Among these data, the profiles are the most difficult to obtain. To overcome this issue, a simple linear scheme is adopted for cross-section profiles interpolation when necessary. Given an upstream and a downstream section with available profiles, for each intermediate cross section to be created, the horizontal and vertical location of its i th point is determined through linear interpolation of the i th upstream and downstream points.

Main channel georeferenced vector lines may be obtained from available maps or by digitizing satellite images, while length and slope of main channels are derived from cross-section data and channel vector lines, taking into account a floodplain DEM as auxiliary data.

Floodplain data

The raster-based model requires a floodplain mask and a DEM to represent floodplain topography. The mask defines the modelled domain, which is established based on the main channel network, floodplain topography and contributing drainage areas of the boundary conditions of the channels. As a no flow boundary condition is imposed to the floodplain in the raster model, the floodplain mask must comprise the full extent of the inundation area. Areas which certainly are not flooded and which do not significantly contribute to flooding may be excluded from floodplain domain to reduce computational cost.

Additionally, precipitation and potential evapotranspiration data are required for the vertical water balance on floodplain. Point specific data such as rainfall gauging station observations or data provided by other sources such as precipitation estimates from atmospheric models are interpolated to the raster model grid using the inverse distance square method. This procedure is carried out before simulation to reduce model run time. These data are required with a discretization on time equal to Δt_{vert} . Alternatively, seasonal monthly estimates of potential evapotranspiration may be used if more detailed data are not available.

Channel–floodplain connection

The largest effort on input data preparation involves establishing the topological connections between channel and floodplain discretization elements. This is not a trivial task when dealing with several tributaries, junctions and hundreds of cross sections, and where the large dimensions of the floodplain elements contrast with relative small channel meanders.

The main channel drainage network must be represented in terms of raster model grid elements, identifying which floodplain elements are connected to each channel reach, and which cross section or intermediate point of the reach is connected to each element. A four-step procedure was developed to accomplish this task.

The first step is the conversion of vector channel network to raster format with spatial resolution and extent equal to the floodplain discretization (Figure 5a). The resulting image is composed by pixels representing or not the channel network (Figure 5b).

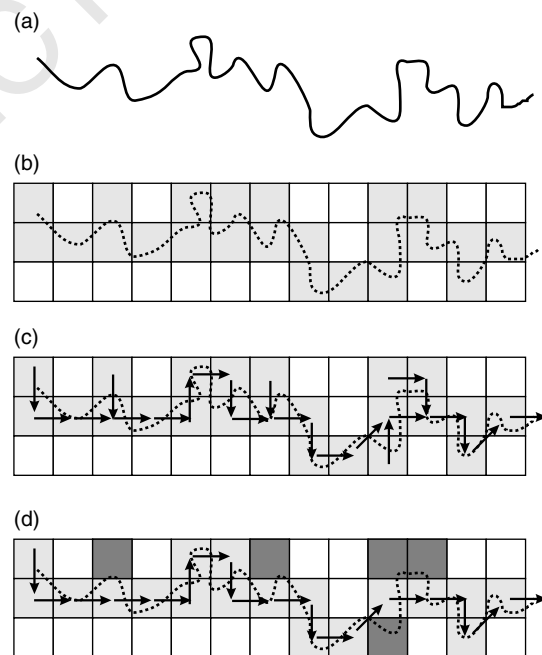


Figure 5. (a) Main channel vector drainage (VD); (b) VD converted to raster (grey pixels); (c) flow directions and (d) raster drainage with a unique pixel-to-pixel flow path (dark pixels were excluded from the original raster drainage)

1 Derivation of flow directions is the second step
 2 (Figure 5c). Considering the set of non-zero pixels as
 3 a mask, the direction water flows out of each pixel
 4 is determined based on floodplain DEM, through the
 5 well-known D8 (deterministic eight-neighbour) algorithm
 6 (Mark, 1984; Burrough and McDonnell, 1998; Jenson and
 7 Domingue, 1988). This algorithm approximates the local
 8 flow direction by the direction of the steepest downhill
 9 slope within a 3×3 window of pixels over a raster DEM.
 10 As this algorithm has a tendency of generating parallel
 11 drainage paths on flat areas, a stochastic factor as pro-
 12 posed by Fairfield and Leymarie (1991) was introduced
 13 to lessen this problem.

14 Thirdly, starting from the most upstream pixel of each
 15 channel reach, trace the downstream path following flow
 16 directions and mark every pixel reached. These marked
 17 pixels form the main channel network representation in
 18 terms of a unique pixel-to-pixel flow path. Pixels non-
 19 marked are eliminated from the raster representation of
 20 main channels (Figure 5d).

21 Every floodplain element corresponding to the raster
 22 pixel-to-pixel channel network is connected with main
 23 channel, while none of the other elements are connected.
 24 The fourth step is the identification of to which cross
 25 section each element is associated.

26 The cross sections with available profile and geo-
 27 graphic coordinate data are associated to the pixel corre-
 28 sponding to these coordinates. For the interpolated cross
 29 sections, albeit their longitudinal position along the main
 30 channels is known, a rescaling procedure is performed
 31 before locating them, due to the tendency of underesti-
 32 mating distances on a coarse-resolution raster representa-
 33 tion of meandering channel networks (Fekete *et al.*, 2001;
 34 Paz *et al.*, 2008).

35 The distances along the raster channel representation
 36 are measured between each of the cross sections already
 37 located. The flow path is followed pixel by pixel,
 38 summing a distance equal to pixel side for an orthogonal
 39 step and equal to 1.414 times pixel side for a diagonal
 40 step. For each reach defined by two of these cross
 41 sections, the ratio between the distances measured on
 42 the raster and on the vector drainages is calculated. This
 43 ratio is applied to convert the longitudinal position along
 44 the main channel of the interpolated cross sections into
 45 distances along the raster channel representation, defining
 46 the location of these sections.

49 EXAMPLE OF APPLICATION: UPRB

51 *Site description and simulation period*

52 The study site comprises the Pantanal area of the
 53 UPRB that has an estimated drainage area of
 54 600 000 km², extending over three South American coun-
 55 tries (Figure 6): Brazil, Paraguay and Bolivia. The UPRB
 56 is part of the La Plata basin and has three distinct
 57 regions: Planalto (260 000 km²), Pantanal (140 000 km²)
 58 and Chaco (200 000 km²). The Planalto region encom-
 59 passes the uplands of the basin mainly in the North and

60 East portions. Located in the West part of the UPRB, the
 61 Chaco is a region characterized by low annual rainfall
 62 and an endorheic and undefined drainage system.

63 The Pantanal region is located in the central portion of
 64 the UPRB and presents very low and flat relief, with a
 65 complex drainage system. Rivers seasonally inundate the
 66 floodplains and flood waters create an intricate drainage
 67 system, including vast lakes, divergent and endorheic
 68 drainage networks. Annual rainfall is less than the
 69 potential evaporation and drainage is very slow because
 70 of shallow gradients (Bordas, 1996; Tucci *et al.*, 1999).

71 The Pantanal region was modelled with the SIRIPLAN
 72 hydrologic simulation system, considering the contribu-
 73 tion of the Planalto area as boundary condition, as flood-
 74 plain inundation is negligible in this part of the basin. The
 75 Chaco region was not modelled due to data scarcity and
 76 because its contribution to Paraguay River is considered
 77 insignificant (Tucci *et al.*, 2005). A period of 11 years
 78 and 4 months from 1 September 1995 to 31 December
 79 2006 was selected for simulation, as this is a more recent
 80 period with reliable available data (Table I).

81 The Pantanal is considered one of the largest wet-
 82 lands of the world, with extraordinary biodiversity (Harris
 83 *et al.*, 2005) and of great global ecologic value (Pott
 84 and Pott, 2004; Junk *et al.*, 2006). Modelling its hydro-
 85 logic regime and floodplain dynamics is imperative for
 86 understanding, predicting and mitigating possible effects
 87 of anthropogenic activities that currently threaten its
 88 integrity, such as dam building, agriculture and cattle rais-
 89 ing (Tucci and Clarke, 1998; Hamilton, 1999; Hamilton
 90 *et al.*, 2002; Da Silva and Girard, 2004; Junk and Cunha,
 91 2005).

93 *1D hydrodynamic model application*

94 The river drainage system modelled with the 1D
 95 hydrodynamic model covers 3965 km of river channels:
 96 1250 km of the Paraguay River and 2715 km of its main
 97 tributaries. The flow path of each channel was obtained
 98 by manually digitizing Landsat7 ETM+ satellite images.

99 For the Paraguay River, a total of 288 detailed cross-
 100 section profiles was available, with distances between
 101 consecutive profiles varying from 0.5 to 10 km. On the
 102 contrary, only 19 profiles were available for all the trib-
 103 utaries together and a linear interpolation procedure was
 104 performed to generate profiles at about 5 km intervals.
 105 Further information concerning river morphology and
 106 slopes available in former studies (DNOS, 1974; Brasil,
 107 1997; Tucci *et al.*, 2005) as well as elevation values
 108 extracted from SRTM-90m DEM were used as auxil-
 109 iary data for the vertical positioning of cross sections.
 110 Detailed description of data preparation for cross sections
 111 is presented in Paz *et al.* (2010).

112 Streamflow gauging stations with available observed
 113 discharge time series were defined as the upstream
 114 boundary conditions of the 1D hydrodynamic model.
 115 Missing data were replaced by values calculated with
 116 the distributed hydrologic model MGB-IPH (Collischonn
 117 *et al.*, 2007). This model was previously applied and
 118

AQ2

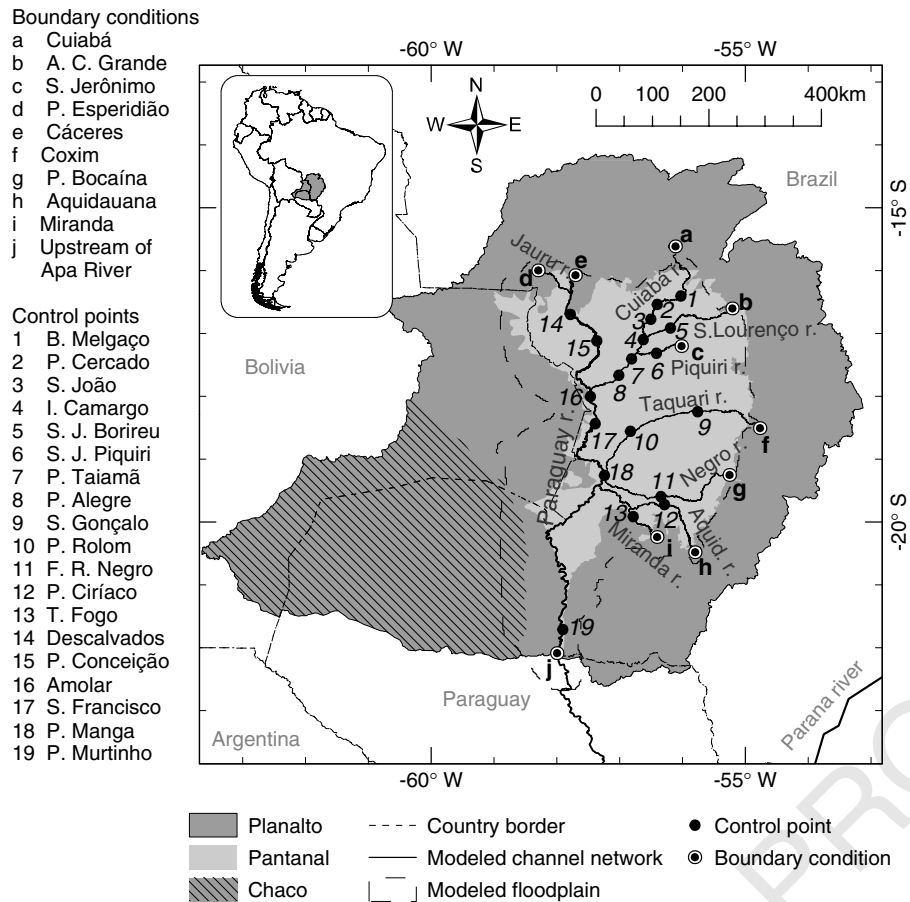


Figure 6. Location of Upper Paraguay River Basin and indication of modelled channel network and floodplain, and of streamflow gauging stations used as control points or boundary conditions

Table I. List of boundary conditions with drainage area and observed daily discharge data availability during the simulation period (1 September 1995–31 December 2006)

Streamflow gauging station defining the boundary condition (reference to Figure 6)	River	Drainage area (km ²)	Observed discharge data availability (% of simulation period) ^a
a	Cuiabá	24 668	100
b	A. C. Grande	23 327	94
c	S. Jerônimo	9215	99.7
d	P. Esperidião	6221	96.5
e	Cáceres	32 574	96.4
f	Coxim	28 688	99.5
g	P. Bocaína	2807	0
h	Aquidauana	15 350	97.1
i	Miranda	15 502	99.7
j	● Upstream of Apa River ^b	594 092	^b

^a Data available from the Brazilian Water Agency (ANA).

^b Downstream boundary condition defined by the Paraguay River section upstream of the affluence of Apa river, considering the energy slope parallel to average bed slope.

1 adjusted for all the sub-basins of the Planalto region of
2 the UPRB in the study reported by Tucci *et al.* (2005). A
3 very reasonable fit of the MGB-IPH model was achieved
4 by these authors, with Nash–Sutcliffe (NS) coefficients
5 ranging from 0.56 to 0.88.

6 The Paraguay River section upstream of the affluence
7 of Apa River, about 60 km downstream from Porto Murt-
8 inho, was taken as the downstream boundary condition
9 of the modelled network, considering the energy slope

parallel to average bed slope. The time step of chan-
10 nel flow modelling (Δt_{chan}) was adopted as 1 h, and
11 the initial conditions were determined considering steady
12 backwater flow approximation.

2D raster-based model application

The floodplain modelled area was defined according
16 to earlier studies that delimited the Pantanal and the
17 SRTM-90m DEM, but also taking into account that a no
18

1 flow boundary condition is imposed to the raster model.
 2 For this reason, the modelled area was traced overesti-
 3 mating the area subject to inundation, which is roughly
 4 about 140 000 km². The raster model domain comprises
 5 219 514 km² (Figure 6), discretized into 46 741 elements
 6 on a 0.02° × 0.02° grid. In planar units, each element is
 7 approximately 2 km wide, with surface area ranging from
 8 4.58 to 4.78 km² depending on its latitude.

9 Floodplain topography was represented by the SRTM-
 10 90m DEM resampled to the raster-based model dis-
 11 cretization, using the nearest neighbour interpolation
 12 method. Following the data preparation procedures, a
 13 total of 1081 floodplain elements were identified as
 14 directly connected to the main channels.

15 The inundation model was run with a 120-s time step,
 16 which was selected after testing different values and ver-
 17 ifying that this value avoided numerical instabilities. A
 18 1-day time step was selected for the vertical water bal-
 19 ance, due to precipitation and potential evapotranspiration
 20 data availability on a daily basis and also because this is
 21 adequate to represent the modelled processes in this study
 22 area. Observed precipitation data available from 105 rain-
 23 fall gauging stations were interpolated to the 0.02° grid
 24 resolution of the floodplain model using the inverse dis-
 25 tance squared method. Although this rain gauge network
 26 is sparse, for instance it is sufficient to provide precipi-
 27 tation estimates for testing the proposed model. Future
 28 work will try to investigate model sensitivity to precipi-
 29 tation estimates and also the combination of pluviometer
 30 measures with satellite-based estimates, such as those
 31 generated by the Tropical Rainfall Measuring Mission
 32 (TRMM; Kummerow *et al.*, 2000).

33 The estimates of potential evapotranspiration produced
 34 by the MGB-IPH distributed hydrological model applied
 35 to the entire UPRB in an earlier study (Tucci *et al.*, 2005)
 36 were used as input data. The MGB-IPH model calculates
 37 potential evapotranspiration through Penman–Monteith
 38 method as presented by Shuttleworth (1993) and follow-
 39 ing the approach proposed by Wigmosta *et al.* (1994).
 40 Distinct combinations of land cover and soil type are
 41 represented inside each model cell through patches with
 42 specific parameter values. This model was applied to
 43 the UPRB considering a 0.1° × 0.1° regular grid and a
 44 1-day time step. The simulation period was from 1968 to
 45 2006, and the estimates of potential evapotranspiration
 46 used as input data for the floodplain model correspond to
 47 the patch representing surface water, which were inter-
 48 polated to the 0.02° floodplain model grid using the inverse
 49 distance squared method.

50 Calibration procedure and model skill assessment

51 To evaluate the performance of the 1D hydrodynamic
 52 model, 15 streamflow gauging stations with available data
 53 were used as control points for comparing calculated
 54 and observed discharges along the main channel network
 55 (Figure 6). Floodplain inundation dynamics simulated by
 56 the raster model was compared with estimates of total
 57 inundated area provided by Hamilton *et al.* (1996) and

with estimates of inundation extent produced by Padovani
 (2007).

62 Hamilton *et al.* (1996) estimated the total of flooded
 63 areas of Pantanal in the period 1979–1987 through
 64 analysis of data obtained by the scanning multichannel
 65 microwave radiometer (SMMR) sensor of the Nimbus-7
 66 satellite. Despite the related uncertainties mostly due to
 67 coarse resolution of satellite images (27 km), vegetation
 68 cover heterogeneity, and of being relative to a time period
 69 distinct from the one simulated in this article, the study
 70 of Hamilton *et al.* (1996) presented to date the most
 71 complete time series of seasonal floods in the Pantanal.

72 Padovani (2007) classified images of the sensor wide-
 73 field imager (WFI) on board of the CBERS-2 satellite
 74 (China–Brazil Earth Resources Satellite) to distinguish
 75 between flooded and non-flooded areas of Pantanal for
 76 the dates 6 October 2004 (dry period) and 13 February
 77 2005 (wet period). These images have a spatial resolution
 78 of 260 m and, as the WFI has a ground swath of
 79 890 km, a unique scene covering the entire area of
 80 interest for each date was used (path 165, row 116).
 81 These images were classified by an unsupervised method,
 82 the Iterative Self-Ordering Data Analysis (ISODATA)
 83 algorithm, as implemented in the ERDAS Imagine 8.5
 84 software. The resulting classes were grouped into flooded
 85 or non-flooded areas, taking the RGB color composite
 86 of Landsat 7 ETM+ images for the year 2000 and
 87 digital aerial photographs of the region as ancillary data.
 88 Undoubtedly these estimates have uncertainties, mostly
 89 associated to inundated areas covered by vegetation
 90 and areas with wet saturated soil, which may lead to
 91 under- and overestimation of flooded extent, respectively.
 92 However, this is the only readily available inundation
 93 extent mapping of the entire Pantanal area for comparison
 94 with our results.

95 A simplified approach was adopted for adjusting model
 96 parameters, as the calibration process of coupled 1D/2D
 97 models is not straightforward. For instance, some stud-
 98 ies indicate that it is not possible to find a unique set
 99 of parameters of the raster model that provide acceptable
 100 adjustments for both channel flow and floodplain inun-
 101 dated area (Horritt and Bates, 2001b). •Another ques-
 102 tion concerns whether using constant or spatially varying
 103 parameters on 2D floodplain models (Werner *et al.*, 2005;
 104 Hunter *et al.*, 2007). Albeit several efforts have been con-
 105 ducted to estimate friction parameters based on remote
 106 sensing data (Bates *et al.*, 2004), in the case of sim-
 107 plified models, such as the proposed in this article, the
 108 parameters are related to aggregated hydraulic process
 109 descriptions (Hunter *et al.*, 2007), weakening the rela-
 110 tion of them with floodplain physical characteristics. In
 111 light of this discussion and due to the large extent of the
 112 study case and scarce available data sets, in this study
 113 the calibration process focused primarily on reproducing
 114 main channel flow, but also trying to reproduce general
 115 aspects of floodplain dynamics. Further study may focus
 116 particularly on adjusting model parameters for reproduc-
 117 ing inundation patterns.
 118

AQ3

Initially, a constant Manning coefficient was adopted for all main channel reaches in the 1D hydrodynamic model, and several runs of the hydrologic simulation system were performed with varying floodplain model parameter values. The Manning channel roughness was selected as 0.035 following a recommendation for large natural rivers (Chow, 1959, 1964). The parameters f_{hc} and H_{smax} were varied in each simulation run, but assuming constant values along the floodplain.

This rough sensitivity analysis of floodplain parameters lead to the selection of the values $f_{hc} = 50$ and $H_{smax} = 1.0$ m, based on channel hydrograph comparisons and the modelled general inundation patterns, both in terms of total inundated area and inundation extent. Adopting these values for the floodplain parameters, a new set of simulation runs was carried out for adjusting main channel roughness. This was done in a trial and error process, by manually varying the Manning coefficient values and comparing calculated and recorded hydrographs through visual inspection and using as statistical measures the NS model efficiency coefficient (NS), the NS coefficient for logarithms of discharge values (NSlog), the relative streamflow volume error (ΔV) and the root mean square error (RMSE). The calibration procedure was realized first for the tributaries and then for the Paraguay River, from upstream to downstream along each river.

Finally, assessment of floodplain inundation dynamics, through comparison with results of Hamilton *et al.* (1996) and Padovani (2007), was carried out considering the simulation run using the adjusted main channel Manning coefficients and the selected values for floodplain parameters. It is worth noting that those authors considered distinct delimitations for defining the Pantanal area in their studies, albeit in general these delimitations are very similar between them. The Pantanal's area following the outline of Hamilton *et al.* (1996) is about 138 139 km², while the one used in the study of Padovani (2007) has 138 437 km². The major difference between them regards to the west portion, where the delimitation used by Padovani (2007) follows the Brazilian country border, as this sketch defines the Pantanal region officially adopted by Brazilian Government.

Simulated total inundated area was converted into average seasonal values for comparison with the results of Hamilton *et al.* (1996), considering the Pantanal delimitation adopted by those authors and adopting the depth threshold of 2 cm to distinguish between dry and inundated condition of each element of the raster-based model.

The comparison between simulated and Padovani's estimates of inundation extent was carried out through a pixel-to-pixel basis, and considering the Pantanal delimitation used by that author. We aggregated the 260 m inundation maps of Padovani (2007) to the spatial resolution of the raster-based model (2 km). Each pixel of the Pantanal area was compared whether wet or dry on both simulated and estimated inundation maps. A 2 × 2 contingency table was built as shown in Figure 7, where 'a'

		Satellite-based estimate		PC = $\frac{a+d}{a+b+c+d}$	POD = $\frac{a}{a+c}$
		Wet	Dry		
Model simulation	Wet	a	b	CSI = $\frac{a}{a+b+c}$	FAR = $\frac{b}{a+b}$
	Dry	c	d		

Figure 7. Contingency table (2 × 2) for comparison between inundation maps resultant from satellite-based estimates and floodplain model simulation, where 'a' and 'b' are the number of pixels which were wet on both maps, 'c' is the number of pixels which were wet on estimated map but dry on simulated map and 'd' is the number of pixels which were dry on estimated map but wet on simulated map; and four derived skill scores: proportion correct (PC), critical success index (CSI), probability of detection (POD) and false alarm ratio (FAR)

and 'd' correspond to the number of wet and dry pixels, respectively, simultaneously on both simulated and estimated maps. The number of pixels which were estimated as wet but simulated as dry are summed in 'c', while 'd' is the number of pixels that were wrongly simulated as wet (they were estimated as dry). Four skill scores were then derived: proportion correct (PC), critical success index (CSI), probability of detection (POD) and false alarm ratio (FAR) (Figure 7). Each of these measures of fit suggests distinct analysis of the results (Wilks, 2006).

The index PC is simply the fraction of the total amount of pixels in agreement between model simulation and Padovani's estimate, indistinctly whether wet or dry. It ranges from 0 (no agreement) to 1 (perfect agreement), and means the area correctly predicted by the model. For instance, the PC was used as a measure of fit of inundation models by Bates and De Roo (2000) and Pearson *et al.* (2001).

The CSI is similar to PC, but accounting for only the agreement of wet pixels and disregarding the correct simulation of dry pixels, under the assumption that it is relatively easier to correctly predict non-flooded areas. The CSI may also be interpreted as the ratio between the intersection of simulated and estimated flooded areas and the combination of them. It ranges from 0, when no overlap occurs between flooded areas of simulated and estimated inundation maps, to 1, when there is exactly a coincidence. This is by far the most widely used measure of fit for evaluating simulated inundation extent against estimates from others sources (Bates and De Roo, 2000; Horritt and Bates, 2001a; Bates *et al.*, 2005; Tayefi *et al.*, 2007; Wilson *et al.*, 2007).

The POD skill score, also known as hit rate, means the fraction of the pixels estimated as wet which were correctly simulated as so, ranging from 0 to 1 (the higher the value the better the performance). The FAR means the fraction of the pixels estimated as dry which were wrongly simulated as wet, also ranging from 0 to 1, but the smaller the value the better the performance. These indices are mostly used for comparing spatial fields of precipitation and other meteorological variables (Wilks, 2006), but also provide interesting analysis for floodplain inundation assessment.

RESULTS AND DISCUSSION

Computation time and performance

To evaluate the gain of introducing the parallelization scheme via OpenMP for part of the floodplain model, the SIRIPLAN was run for the UPRB in a sequential mode and further considering two and four processor cores in the parallelization. The three runs were performed in a quad core Intel processor 3 GHz with 4 GB RAM.

The computation time required in each run is shown in Table II. When running sequentially, the run time was greater than 4 h. This run time was reduced by 45% when adopting a two cores parallelization and by 67% when parallelizing with four cores. Parallel speedup (run time of parallel execution divided by run time of sequential execution) equal to 1.82 and 3.07 was obtained for two and four cores parallelization, respectively. In terms of parallel efficiency (speedup divided by the number of processor cores), running in parallel with two and four cores resulted in values of 0.91 and 0.77, respectively.

The values of parallel speedup and efficiency obtained with SIRIPLAN in this study were similar to the best results presented by Neal *et al.* (2009), who ran the LISFLOOD-FP model applied to several different study cases considering the OpenMP parallelization technique.

Flow regime along main channels

A very reasonable model fit was obtained in terms of reproducing main channel flow along the Paraguay River

and its tributaries, as indicated by the performance measures comparing observed and calculated hydrographs shown in Table III, relative to the period from 1 December 1997 to 31 December 2006 (the antecedent period was disregarded due to initial conditions influence).

For the gauging stations located at the tributaries, the adjusted Manning coefficients ranged from 0.02 to 0.055, and were obtained NS and NSlog coefficients ranging from 0.75 to 0.94 and from 0.80 to 0.97, respectively. The volume error for these stations was less than 10% in absolute value, except for the Ilha Camargo station ($\Delta V = -13.5\%$), while the RMSE ranged from less than 20 m³/s at P. Ciríaco (Aquidauana River) to near 100 m³/s at P. Taiamã (Cuiabá River).

The model was capable to reproduce the general shape of observed hydrographs at the tributaries, as illustrated by visually comparing observed and calculated hydrographs at P. Cercado, P. Taiamã and P. Ciríaco gauging stations (Figure 8a–c, respectively). For instance, these three cases exemplify the complexity of flow regime of rivers flowing along Pantanal. There is a small over-estimation trend on calculated seasonal peak flows at P. Cercado station, of about 10% for the wettest years, while at P. Taiamã and P. Ciríaco there is an under-estimation trend of up to 15% and 5% on calculated seasonal peak flows, respectively. For these three gauging stations, there are insignificant differences between observed and calculated recession flows.

Table II. Run time and performance of the SIRIPLAN hydrologic system applied to the Upper Paraguay River Basin

Run type	Run time	Performance relative to single core		
		Run-time reduction	Speedup	Efficiency
Sequentially	4 h 23 min 47 s	—	—	—
Parallel two cores	2 h 25 min 10 s	45%	1.82	0.91
Parallel four cores	1 h 26 min 25 s	67%	3.07	0.77

Table III. Performance measures of SIRIPLAN hydrologic system in simulating main channel flow along Paraguay River and its tributaries

Reference to Figure 6	Station names	River	Drainage area (km ²)	Statistics ^a			
				RMSE (m ³ /s)	NS	NSlog	ΔV (%)
1	B. Melgaço	Cuiabá	27 050	70.2	0.94	0.97	-5.8
2	P. Cercado	Cuiabá	38 720	46.1	0.91	0.92	-4.6
3	S. João	Cuiabá	39 908	50.2	0.82	0.84	-8.8
4	I. Camargo	Cuiabá	40 426	85.3	0.78	0.80	-13.5
5	S. J. Borireu	S. Lourenço	24 989	26.6	0.92	0.94	4.9
6	S. J. Piquiri	Piquiri	28 871	89.2	0.75	0.82	8.9
7	P. Taiamã	Cuiabá	96 492	98.5	0.90	0.92	-2.1
8	P. Alegre	Cuiabá	104 408	79.8	0.82	0.85	8.3
9	P. Ciríaco	Aquidauana	19 204	18.0	0.76	0.83	-3.5
10	Descalvados	Paraguay	48 360	79.3	0.91	0.92	-5.0
11	P. Conceição	Paraguay	65 221	80.1	0.63	0.62	7.6
12	Amolar	Paraguay	246 720	180.7	0.67	0.72	6.3
13	P. S. Francisco	Paraguay	251 311	258.7	0.70	0.73	-2.0
14	P. Manga	Paraguay	331 114	191.3	0.82	0.76	2.5
15	P. Murtinho	Paraguay	581 667	343.5	0.61	0.65	-6.1

^a To exclude the effect of initial conditions, statistics were calculated for the period from 1 December 1997 to 31 December 2006.

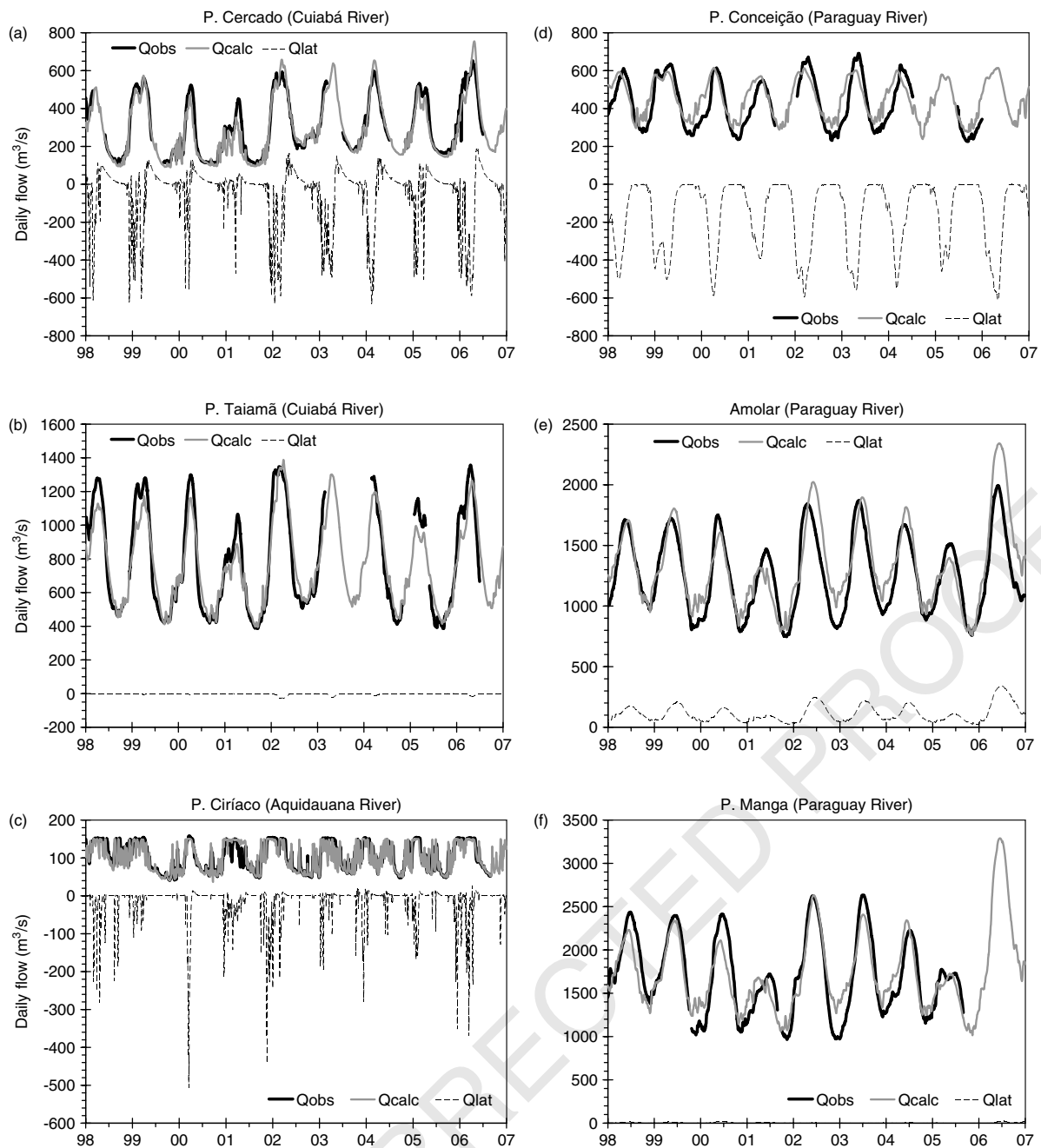


Figure 8. Comparison of calculated (Q_{calc}) and observed (Q_{obs}) hydrographs at three gauging stations located at tributaries and three stations of Paraguay river; Q_{lat} is the lateral flow exchanged between main channel and floodplain along the following river reaches: (a) from B. Melgaço to P. Cercado; (b) from the confluence of Piquiri and Cuiabá Rivers to P. Taiamã; (c) from Aquidauana to P. Ciríaco; (d) from Descalvados to P. Conceição; (e) from the confluence of Cuiabá and Paraguay Rivers to Amolar and (f) from P. S. Francisco to P. Manga; $Q_{lat} < 0$ means flow from main channel to floodplain and $Q_{lat} > 0$ means flow in the opposite direction

1 In the graphs of Figure 8, Q_{lat} means the calculated
 2 lateral flow exchanged between main channel and flood-
 3 plain along the upstream river reach specified on the cap-
 4 tion of the figure for each case, being negative if flowing
 5 from the channel to floodplain and positive if flowing in
 6 the opposite direction. Along the 107 km reach of Cuiabá
 7 River upstream of P. Cercado, was simulated a huge loss
 8 of water from channel to floodplain during rising limb of
 9 flood hydrograph, with Q_{lat} achieving up to $-600 \text{ m}^3/\text{s}$
 10 (around 8% greater than flood peak along main chan-
 11 nel), and a gain of water after flood peak flow of up

12 to $180 \text{ m}^3/\text{s}$. Meanwhile, no water exchanges between
 13 channel and floodplain were simulated for the river reach
 14 upstream of P. Taiamã station.

15 At P. Ciríaco station, located on the Aquidauana
 16 River 230 km downstream from Aquidauana station
 17 (boundary condition), the observed hydrograph presents
 18 a marked maximum value of $150 \text{ m}^3/\text{s}$. At Aquidauana
 19 station, observed peak flow reaches up to $700 \text{ m}^3/\text{s}$. This
 20 enormous reduction of channel flow in this river reach
 21 was well represented by the model, which simulated
 22 lateral exchanges of water from channel to floodplain of

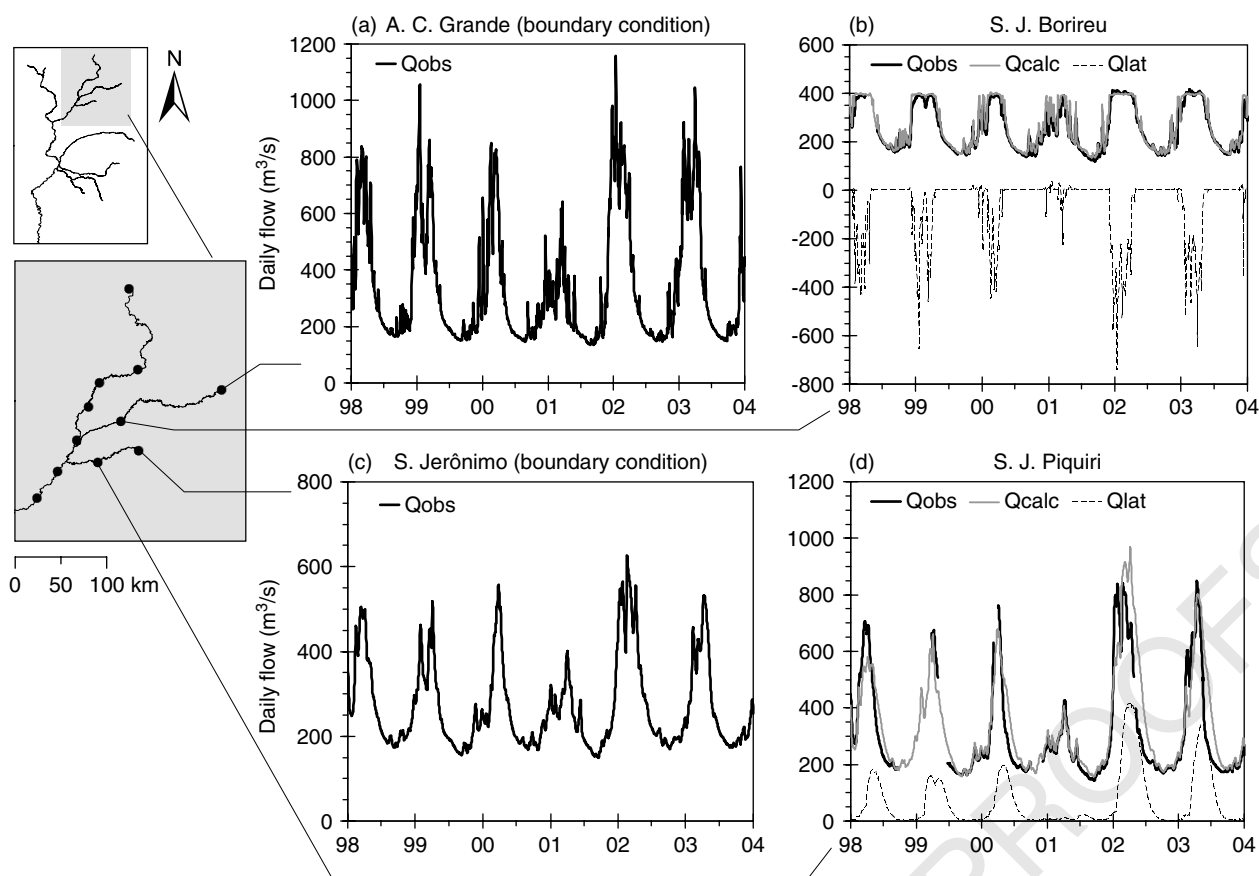


Figure 9. (a) and (c) Observed hydrographs at the boundary conditions of S. Lourenço (A. C. Grande station) and Piquiri (S. Jerônimo) rivers and (b) and (d) comparison between calculated (Qcalc) and observed (Qobs) hydrographs at their downstream gauging stations, also showing lateral flow exchanged between main channel and floodplain along each river reach between the boundary condition and the downstream station

1 up to 500 m³/s during flood peaks. The maximum lateral
 2 lateral discharge simulated corresponds to 3.3 times peak flow
 3 along main channel at P. Ciríaco. During the dry period,
 4 no water drainage from the floodplain was simulated and
 5 the observed recession flow at this station was also well
 6 reproduced.

7 As at P. Ciríaco, a marked maximum flow (of about
 8 400 m³/s) on observed hydrograph is also seen at S. J.
 9 Borireu station, located on the S. Lourenço River, which
 10 was well reproduced by the model (difference less than
 11 5%) (Figure 9a and b). Along the 250 km long reach
 12 between this station and the upstream boundary
 13 (A. C. Grande station), the model simulated lateral flows
 14 of up to 750 m³/s from main channel to floodplain.

15 In the reach of the Piquiri River upstream of S. J.
 16 Piquiri station (80 km downstream from S. Jerônimo,
 17 taken as boundary condition), the exchanges of water
 18 between floodplain and main channel was simulated as
 19 occurring in the opposite direction of that reported to
 20 the S. Lourenço River (Figure 9c and d). A gain of water
 21 from the floodplains to the main channel was simulated in
 22 this reach of Piquiri River, totalling up to 400 m³/s during
 23 the floods. This gain of water represents almost 50% of
 24 the water flowing along the main channel at S. J. Piquiri
 25 station. In fact, while at S. Jerônimo observed peak flow
 26 ranges between 400 and 700 m³/s, at S. J. Piquiri this
 27 range is between 400 and 1100 m³/s. The increase in

28 observed peak flow from upstream to downstream is 28
 29 due to lateral floodplain contribution, which the model 29
 30 was capable to simulate. The estimated hydrograph of 30
 31 this lateral gain of water to main channel presents a 31
 32 small time delay relative to channel flood peak. During 32
 33 dry periods, this hydrograph reached null values, which 33
 34 allowed recession flow at S. J. Piquiri to be quite well 34
 35 reproduced. Most interestingly is that the major part of 35
 36 the contribution of floodplain to main channel of Piquiri 36
 37 River at this location during floods was resultant from 37
 38 the volume of water lost by the main channel of the 38
 39 S. Lourenço River, 35 km to North, which flowed along 39
 40 floodplains. 40

41 Owing to large drainage areas and complexity of 41
 42 processes involved, including contributions of tributaries 42
 43 that may occur both through main channel and floodplain 43
 44 flows, reproduction of flow regimes along the Paraguay 44
 45 River is even more difficult than along its tributaries. 45
 46 However, the model was able to reproduce the seasonal 46
 47 flow regime along the Paraguay River, as illustrated 47
 48 by the performance measures comparing observed and 48
 49 calculated flows at six gauging stations (Table III). The 49
 50 NS and NSlog coefficients ranged from 0.61 to 0.91 and 50
 51 from 0.62 to 0.92, respectively. RMSE were obtained 51
 52 between 80 and 343 m³/s, which seem to be large 52
 53 errors in absolute terms, but correspond roughly to less 53
 54 than 13% of average peak flow in each station: 7% 54

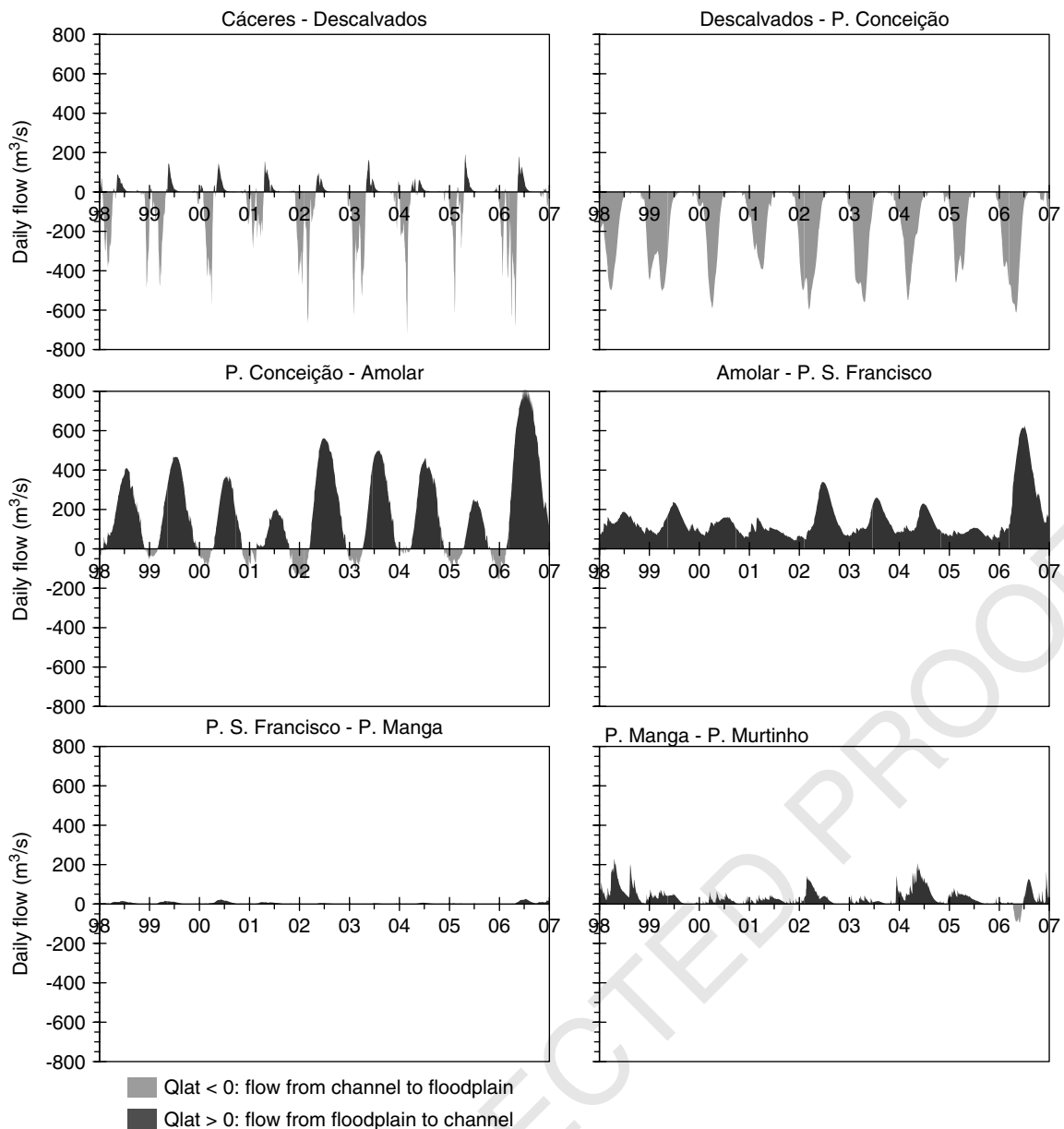


Figure 10. Lateral exchanges of water between main channel and floodplain simulated by SIRIPLAN along the modelled reach of Paraguay River, separated into six river reaches between each, two consecutive gauging stations: Cáceres, Descalvados, P. Conceição, Amolar, P. S. Francisco, P. Manga and P. Murtinho

1 at Descalvados, 12% at P. Conceição, 11% at Amolar,
 2 13% at P. S. Francisco, 9% at P. Manga and 13%
 3 at P. Murtinho. In terms of volume error, the results
 4 obtained ranged from -6.1% at P. Murtinho to 7.6% at
 5 P. Conceição station. Manning coefficients ranged from
 6 0.012 to 0.055 .

7 Hydrographs along Paraguay River have marked sea-
 8 sonality, as can be seen on Figure 8d (P. Conceição
 9 station), Figure 8e (Amolar) and Figure 8f (P. Manga),
 10 which were quite well reproduced by the developed
 11 model, despite some discrepancies between observed and
 12 estimated hydrographs, as the overestimation of recession
 13 flows and underestimation of peak flows in some years.

14 It is important to highlight the model ability for
 15 differentiating the intensity of the seasonal flood among
 16 years. For instance, at P. Manga station, which has a

17 drainage area greater than $330\,000\text{ km}^2$, the SIRIPLAN 17
 18 was able to estimate the reduced peak flows (less than 18
 19 $1800\text{ m}^3/\text{s}$) of the floods of the years 2001 and 2005 and 19
 20 the large flood of 2002 (peak flow around $2700\text{ m}^3/\text{s}$). 20

21 The simulated lateral flow in the Paraguay River reach 21
 22 from P. S. Francisco to P. Manga (almost 200 km length) 22
 23 was negligible, while a loss of water from main channel 23
 24 to floodplain achieving peak flows up to $600\text{ m}^3/\text{s}$ was 24
 25 estimated for the reach between Descalvados and P. 25
 26 Conceição ($\sim 120\text{ km}$). Along the 21-km long reach 26
 27 downstream of the confluence of Cuiabá River up to 27
 28 Amolar station, a gain of water from floodplain to main 28
 29 channel was simulated. This gain occurred throughout 29
 30 the entire year, with peak flows up to $330\text{ m}^3/\text{s}$ in the 30
 31 period June–July and flows up to $30\text{ m}^3/\text{s}$ in the other 31
 32 months. 32

1 To better analyse the channel–floodplain water
 2 exchanges along the modelled reach of the Paraguay
 3 River, the estimates of lateral flows for each reach delimit-
 4 ed by two consecutive streamflow gauging stations is
 5 shown in Figure 10. This figure shows distinct patterns
 6 of lateral water exchanges along the upper, middle and
 7 lower reaches of the Paraguay River. A loss of water
 8 from channel to floodplain prevails in the most upper
 9 part of the Paraguay River, from Cáceres (boundary con-
 10 dition) to Descalvados station. Simulated lateral flows
 11 from channel to floodplain achieved peaks of up to
 12 $650 \text{ m}^3/\text{s}$ in the reach between Cáceres and Descalvados,
 13 and up to $590 \text{ m}^3/\text{s}$ in the reach between Descalvados
 14 and P. Conceição. In the reach Cáceres–Descalvados, results
 15 show that water flows from channel to floodplain mostly
 16 during the period December–April and in the opposite
 17 direction during the period May–July, with null flows
 18 from August to November. In the downstream reach
 19 (Descalvados–P. Conceição), null lateral flows were sim-
 20 ulated from July to November, with a loss of water from
 21 channel to floodplain over the rest of the year.

22 In the middle part of the Paraguay River, downstream
 23 of P. Conceição station and upstream of P. S. Fran-
 24 cisco, the simulated lateral exchanges of water were
 25 predominantly a gain from floodplains to main chan-
 26 nel. Indeed, the model simulated that this reach of the
 27 Paraguay River receives contribution propagated from its
 28 upstream floodplains and also drained by the floodplains
 29 of Cuiabá River. The simulated lateral peak flows were
 30 up to $800 \text{ m}^3/\text{s}$ in the reach between P. Conceição and
 31 Amolar, and up to $620 \text{ m}^3/\text{s}$ in the reach between Amolar
 32 and P. S. Francisco. In the former reach, lateral water loss
 33 from channel to floodplain was simulated in the period
 34 December–March, with flows in the opposite direction
 35 during the following months. In the latter reach, a gain
 36 of water from floodplain to channel was simulated as
 37 occurring over the entire year.

38 For the lower part of the Paraguay River, from P. S.
 39 Francisco to P. Murtinho station, simulated lateral flows
 40 were relatively small, in comparison to the flows of
 41 the upstream reaches. Along the reach between P. S.
 42 Francisco and P. Manga, these flows were approximately
 43 null, while a gain of water less than $200 \text{ m}^3/\text{s}$ was
 44 simulated along the reach between P. Manga and P.
 45 Murtinho stations.

46 *Floodplain inundation*

47 Typical inundation maps of a dry and wet period
 48 are shown in Figure 11, relative to the dates 6 October
 49 2004 and 13 February 2005, respectively. The estimates
 50 of inundation extent produced by Padovani (2007) for
 51 these same dates are also shown in this figure. The
 52 correspondent measures of fit between simulated (our
 53 results) and estimated (Padovani's results) inundation
 54 maps are given in Table IV.

55 The model was capable to reproduce part of the major
 56 permanent inundated areas during the dry period, which
 57 are exclusively due to water spilling from main chan-
 58 nels and flowing along floodplain. These areas are located
 59

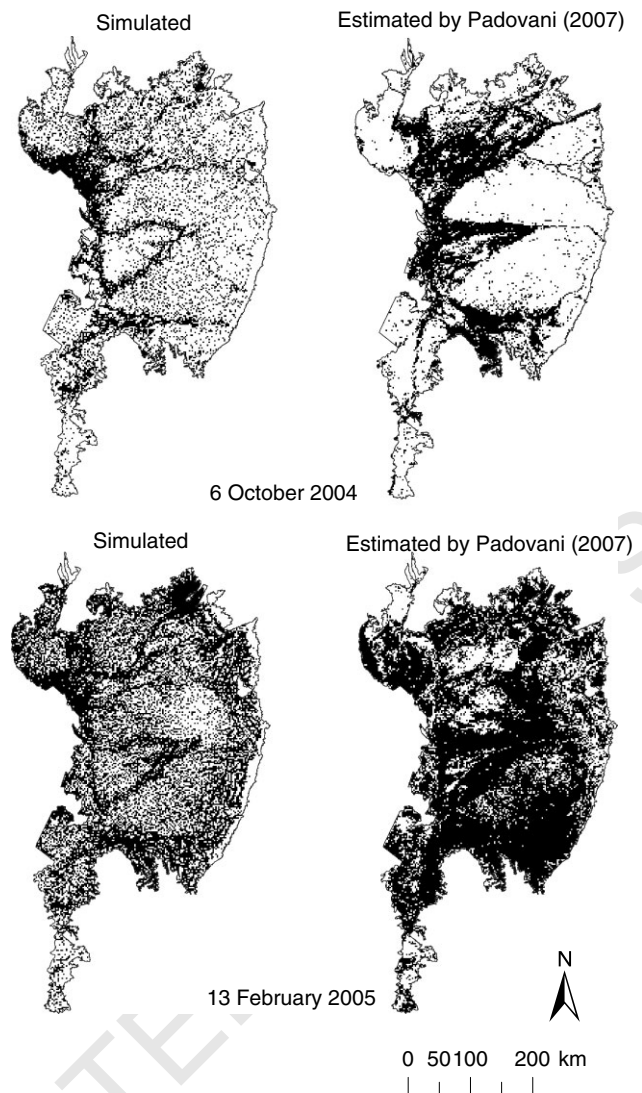


Figure 11. Inundation maps of Pantanal simulated and estimated by Padovani (2007), for two dates: 6 October 2004 (dry period) and 13 February 2005 (wet period)

Table IV. Skill scores of the comparison between inundation maps estimated by Padovani (2007) and simulated with SIRIPLAN, at two dates

Accuracy measure	Dry period (6 October 2004)	Wet period (13 February 2005)
PC	0.60	0.57
CSI	0.24	0.51
POD	0.37	0.59
FAR	0.60	0.23

60 along the north and central portions of Paraguay River,
 61 in the reach between Descalvados and P. Manga gau-
 62 ging stations, along the floodplains of the lower reach
 63 of Cuiabá River and along both margins of the Taquari
 64 River. Also, the inundation along Taquari floodplains is
 65 consistent with the expected pattern, as this region com-
 66 prises the distributary fan lobe of the Taquari alluvial
 67 megafan (Assine, 2005). However, considering the esti-
 68 mates of Padovani (2007) as correct, these major flooded
 69

1 areas were underestimated by the model, as is clear by
 2 visual comparison of both maps. This underestimation
 3 resulted in the low CSI and POD skill scores. About 60%
 4 (PC = 0.60) of the pixels were in agreement between
 5 these two inundation maps, i.e. 60% of the area was wet
 6 or dry simultaneously on both maps. However, disregarding
 7 the coincident dry pixels on both maps, the agreement
 8 between them reaches 24% (CSI = 0.24). From the area
 9 estimated as flooded in Padovani's work, 37% was also
 10 flooded in the simulated map (POD = 0.37). On the
 11 contrary, the obtained FAR score means that, from the
 12 area simulated as flooded, 60% was estimated as dry
 13 by Padovani (2007), and this relatively high value is
 14 mostly due to dispersed isolated pixels wrongly simu-
 15 lated as flooded by the model. In terms of total area,
 16 the model simulated 40 491 km² as flooded areas, which
 17 corresponds to 29.2% of the Pantanal, while the esti-
 18 mates of Padovani (2007) indicate an inundation extent
 19 of 45 135 km² (32.6% of total) (Table V).

20 During floods, the loss of water from main channels to
 21 floodplains is increased and the most important flooded
 22 areas identified in the dry period become larger and
 23 deeper. However, the major difference between inunda-
 24 tion maps of dry and wet periods is that in the wet period
 25 the flooded areas cover a much larger extension along the
 26 whole domain. Although with prevailing shallow water
 27 depths, the simulated flooded area on 13 February 2005
 28 covers almost twice the extent estimated at 6 October
 29 2004, i.e. a flooded area of about 76 406 km² or 55.2%
 30 of the entire Pantanal. The estimates of Padovani (2007)
 31 show an even larger flooded area, of about 100 393 km²
 32 (72.5% of total), and indicate again an underestimation
 33 trend on model results, but weaker than that for the dry
 34 period. In terms of skill scores, the general agreement
 35 between simulated and estimated inundation maps was
 36 increased in comparison to the dry period. Although the
 37 PC index was almost equal between the two periods, the
 38 CSI and POD indices were quite improved at this time,
 39 with CSI = 0.51 and POD = 0.59. Also, the FAR has
 40 decreased (FAR = 0.23), meaning that only 23% of the
 41 area simulated as flooded was dry in the inundation map
 42 of Padovani (2007).

43 In comparison to others studies of floodplain inunda-
 44 tion modelling, our CSI scores are relatively similar with
 45 them. For instance, the greater difficulty to reproduce the
 46 inundation extent during the dry period is also pointed

47 out by Wilson *et al.* (2007), which was the unique previ-
 48 ous study we found that assessed inundation map during
 49 dry period. Those authors used the LISFLOOD-FP model
 50 to simulate part of the Amazon River and Purus tribu-
 51 tary, obtaining CSI = 0.23, approximately the same
 52 score we achieved. They state that their model inabil-
 53 ity to simulate low water inundation extent is mostly
 54 due to not including floodplain vertical hydrological pro-
 55 cesses and the SRTM DEM aggregation, which makes
 56 difficult the representation of complex, small-scale topog-
 57 raphy controlling part of the floodplain drying out pro-
 58 cess. Although we have included representation of evap-
 59 otranspiration and infiltration processes, the simplicity of
 60 adopted schemes together with the aggregation of SRTM
 61 DEM to the 2 km resolution may have reduced model
 62 capability on reproducing the full drainage of the flood-
 63 plain. The sparse pluviometer network and uncertainties
 64 on precipitation estimates may also have contributed to
 65 this model inability. For the wet period, our CSI score
 66 of 0.51 is similar to the lower limit of the range of
 67 results obtained by others authors varying model param-
 68 eters or structure, such as Wilson *et al.* (2007), Tayefi
 69 *et al.* (2007), Horritt and Bates (2001b) and Bates and
 70 De Roo (2000).

71 As stated before, during the dry period, the inundation
 72 extent was almost limited to the major permanent flooded
 73 areas resultant from water spilling from main channel to
 74 floodplains. During the wet period, regions not directly
 75 connected to overbank flow from main channels were
 76 flooded due to delayed drainage of precipitation. This
 77 input of water to the floodplain gives origin to local
 78 water accumulation which drains slowly, or is evaporated
 79 in the following dry period, resulting in a marked
 80 seasonal variation in total inundated area as illustrated
 81 in Figure 12. Peaks of total inundated areas simulated
 82 by the model ranged from 100 000 to 126 000 km² along
 83 the simulation period, which are similar to the maximum
 84 values of inundation estimated by Hamilton *et al.* (1996)
 85 for a different period (1979–1987). The total inundated
 86 areas during dry periods simulated with SIRIPLAN
 87 ranged from 35 000 to 45 000 km², while the mentioned
 88 study estimated much smaller minimum inundated areas,
 89 of up to 11 000 km². This result could indicate an
 90 overestimation of our inundated area during dry period.
 91 However, given that the estimate of inundation extent
 92 of Padovani (2007) for the date 6 October 2004 (dry

Table V. Flooded and dry total areas over Pantanal on two dates simulated by SIRIPLAN and estimated by Padovani (2007)

Floodplain	Dry period (6 October 2004)				Wet period (13 February 2005)			
	Simulated		Estimated by Padovani (2007)		Simulated		Estimated by Padovani (2007)	
	Area (km ²)	Percentage of total area	Area (km ²)	Percentage of total area	Area (km ²)	Percentage of total area	Area (km ²)	Percentage of total area
Flooded	40 491	29.2	45 135	32.6	76 406	55.2	100 393	72.5
Dry	97 946	70.8	93 302	67.4	62 032	44.8	38 044	27.5
Total	138 437	100.0	138 437	100.0	138 437	100.0	138 437	100.0

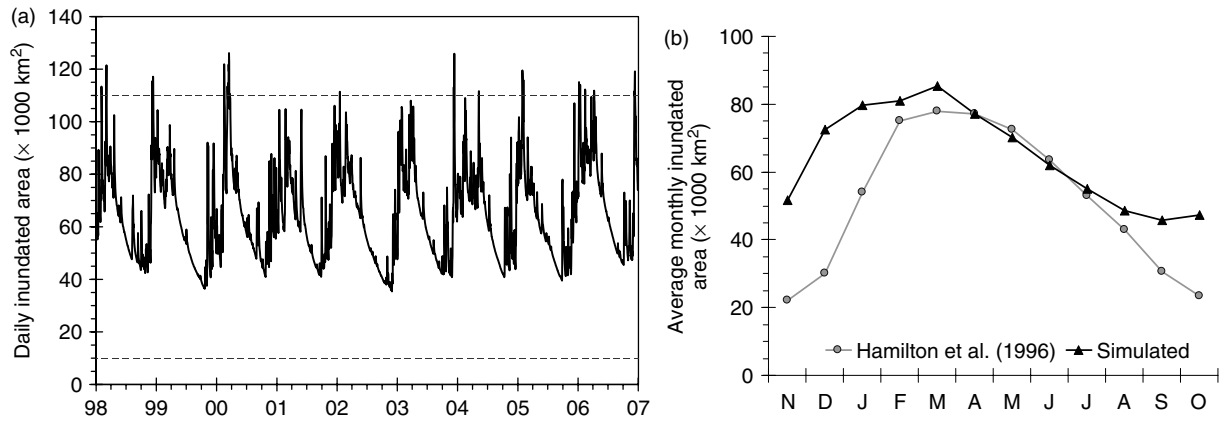


Figure 12. (a) Daily inundated areas simulated over Pantanal [the horizontal grey lines represent the maximum and minimum values estimated by Hamilton *et al.* (1996) for the period 1979–1987] and (b) average monthly inundated areas simulated along the period from 1 January 1998 to 31 December 2006 and estimated by Hamilton *et al.* (1996) for the period 1979–1987

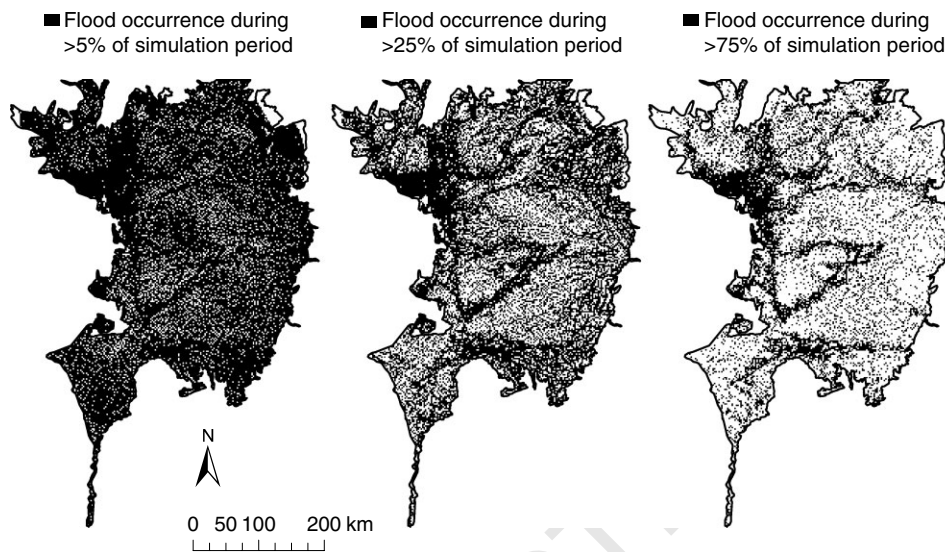


Figure 13. Maps showing areas subject to inundation during frequencies greater than 5%, 25% or 75% of simulation period

period) corresponds to an area of about 45 000 km² and seems consistent to expected inundation patterns of Pantanal, may be the results of Hamilton *et al.* (1996) are underestimated or their period of analysis was much more drier than our area.

Comparison of average monthly estimates shows that in our study the peak of flooding occurred between 1 and 2 months in advance relative to the results of Hamilton *et al.* (1996) (Figure 9b). Again, it can be noted the difference on inundated areas in the dry period between the two studies. Nevertheless, it is worth noting the importance of including the vertical water balance on floodplain modelling and the capability of SIRIPLAN to simulate the Pantanal seasonal flood pulse.

The model capability to simulate the major permanent flooded areas are also highlighted by maps shown in Figure 13, which provides an analysis of simulated inundation frequency spatially distributed over Pantanal. The maps in this figure show the areas that were inundated during time periods greater than 5%, 25% and 75% of the simulation period (considering the 9 years

from 1 January 1998 to 31 December 2006). These inundation frequencies were calculated regardless of being during consecutive days or not. Approximately 32% (43 624 km²) of the Pantanal was flooded during more than 75% of the simulation period, while 58% (80 330 km²) of Pantanal was flooded during more than 25% of the simulation period. This area increases to 115 033 km² (83% of total) when the 5% frequency threshold is considered, and it goes to the limit of 100% of Pantanal area as the threshold approaches zero, i.e. the entire Pantanal was flooded in at least 1 day of the simulation period. On the contrary, when the frequency threshold approaches 100%, i.e. considering solely pixels which were strictly permanently inundated, the area covers roughly 22% of entire Pantanal (~30 000 km²).

SUMMARY AND CONCLUSIONS

This paper presents the hydrologic simulation system SIRIPLAN, developed for simulating the flow regime

1 and spatial inundation over large-scale networks of rivers
2 and floodplains. The SIRIPLAN couples the 1D hydro-
3 dynamic model IPH4 for simulating main channel flow
4 to a 2D raster-based floodplain model, which simulates
5 the floodplain inundation dynamics. Auxiliary modules
6 simulate the vertical water processes of precipitation,
7 infiltration and evapotranspiration over floodplains and
8 water exchanges between channels and floodplains.

9 The application example of the SIRIPLAN to the
10 UPRB, which includes the Pantanal, one of the largest
11 wetlands of the world, showed the viability and adequacy
12 of the proposed approach. A total of 3965 km of main
13 channels and 140 000 km² of floodplains were simulated
14 for a time period of 11 years. The computational routines
15 developed for establishing the topological connections
16 between channel and floodplain discretization elements
17 strongly reduced the effort and time needed on input
18 data preparation. Additionally, the use of a parallelization
19 scheme through OpenMP method for two loops of the
20 floodplain model has proven to be a satisfactory way
21 to reduce run time, which may allow higher level of
22 floodplain spatial discretization.

23 The model was capable to reproduce the flow regime
24 along main channels of Paraguay River and its tributaries.
25 Distinct cases were satisfactorily simulated, such as rivers
26 that present enormous loss of water from main channel
27 to floodplain during the floods, rivers where this loss
28 occurs during both the flood and dry periods, rivers where
29 there is a gain of water from floodplains to main channel
30 and rivers which do not exchange water laterally. For
31 instance, it must be emphasized that the ability of the
32 proposed model to simulate the complex behaviour of
33 channel–floodplain interactions specifically in the region
34 of the S. Lourenço and Piquiri Rivers, in which the
35 water spills over the channel of the S. Lourenço River,
36 inundates the floodplain and propagates over it until
37 reaching and contributing to the flow of the main channel
38 of the Piquiri River.

39 The SIRIPLAN was also able to reproduce the Pantanal
40 seasonal flood pulse, with estimates of inundated area
41 varying from 35 000 to 45 000 km² in the dry period and
42 ranging from 100 000 to 126 000 km² in the wet period.
43 These estimates were consistent with the results obtained
44 by a earlier study, which was based on coarse-resolution
45 satellite images and analysed a distinct period of time,
46 but with greater inundation area during the dry period.

47 Floodplain inundation maps obtained with SIRIPLAN
48 were consistent with previous knowledge of Pantanal
49 dynamics, presenting regions permanently inundated, as
50 well as regions seasonally inundated due to precipita-
51 tion and overbank flow of rivers. However, comparison
52 to inundation maps estimated by a previous satellite-
53 based study indicates that permanently flooded areas
54 may have been underestimated. Performance measures
55 derived from this comparison were similar to part of those
56 reported in literature. Given that our study domain is sev-
57 eral times larger than of those studies, and the complexity
58 involved in contrast to scarce data availability, we can
59 consider we achieved reasonable results.

Furthermore, this paper presented the first results of
our effort for mathematic modelling floodplain dynamics
over Pantanal, using the proposed SIRIPLAN simulation
system. Despite consistent and promising results, further
work is necessary, mostly for analysing the sensitivity of
the inundation model to floodplain parameters, time step
and uncertainty of precipitation estimates and improv-
ing representation of infiltration and evapotranspiration
processes over floodplains.

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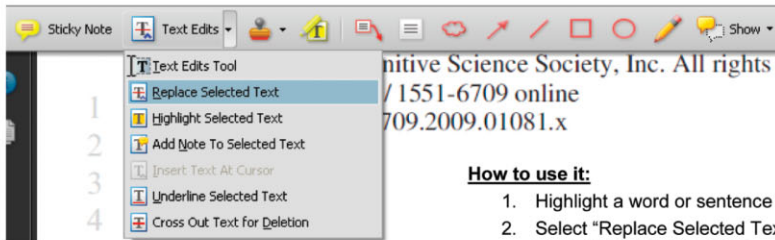
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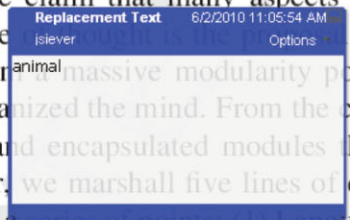
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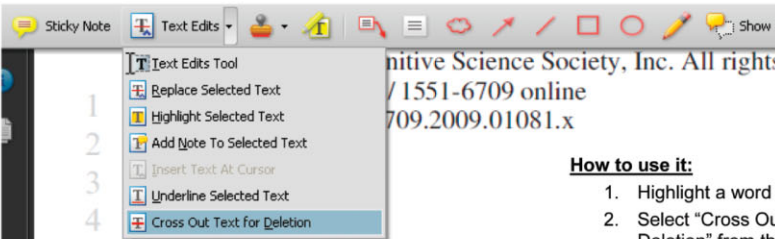
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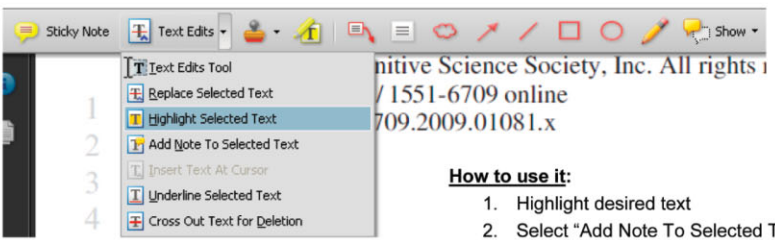
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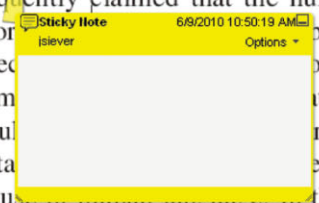
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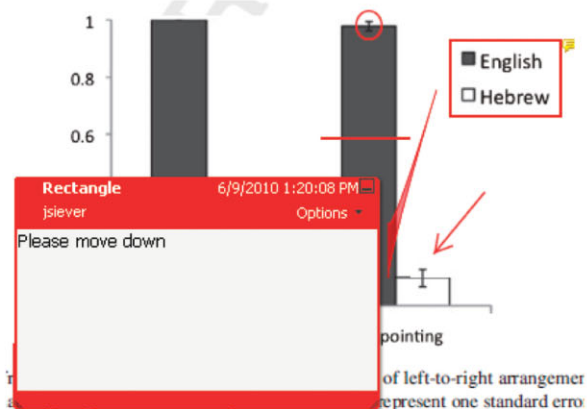
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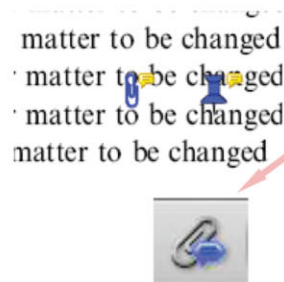
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2. Draw the selected shape with the cursor
3. Once finished, move the cursor over the shape until an arrowhead appears and double click
4. Type the details of the required change in the red box



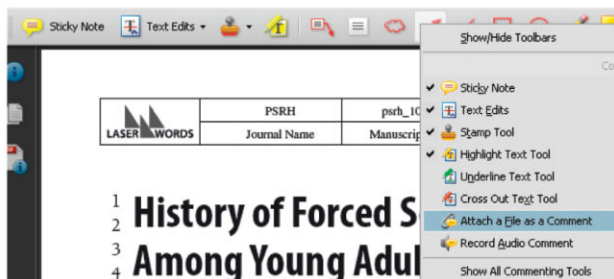
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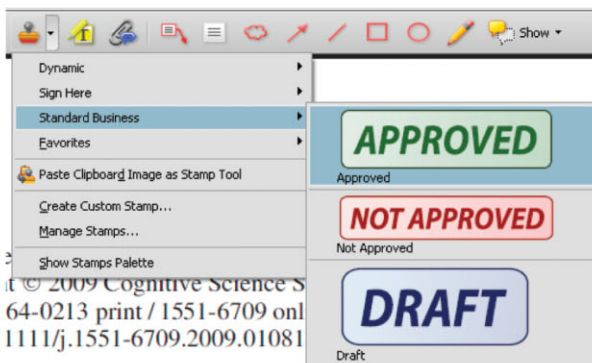


How to use it:

1. Right click on the Commenting Toolbar
2. Select "Attach a File as a Comment"
3. Click on paperclip icon that appears in the Commenting Toolbar
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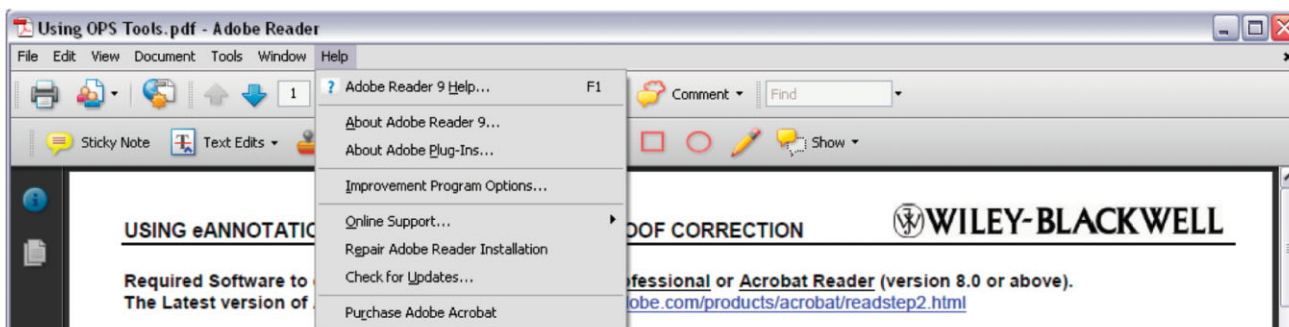
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